

Report for: Aviva Investors Pensions - UK Equity Active Fund (H031)

Asset type: Equities

1H16



Analysis of trading in period					Sources of commission paid (£)			Uses of commission paid (£)					
Counterparty	Total (£)	Of which:		At other rates	Of which:			Of which:					
		% Net	% With commission		At full service rate	At other rates	Total paid out	For execution (£)	Other (£)*		Paid To Third Parties		
			At full service rate					Retained By Executing Broker	Paid To Third Parties (£)	Retained By Executing Broker	Paid To Third Parties (£)		
UBS	1,590,244.41	0.00%	100.00%	0.00%	2,218.65	0.00	2,218.65	2,218.65	0.00	0.00	0.00	0.00	0.00
BARCLAYS BANK PLC	1,260,941.45	51.19%	48.81%	0.00%	777.70	0.00	777.70	312.79	0.00	314.59	150.32	0.00	150.32
CITIGROUP	1,177,090.13	0.00%	100.00%	0.00%	1,765.64	0.00	1,765.64	1,375.43	0.00	283.03	107.18	0.00	107.18
JEFFERIES INTERNATIONAL	1,086,052.24	0.00%	100.00%	0.00%	1,705.38	0.00	1,705.38	912.55	0.00	0.00	792.83	0.00	792.83
REDBURN PARTNERS LLP	1,045,241.16	0.00%	100.00%	0.00%	1,595.75	0.00	1,595.75	1,595.75	0.00	0.00	0.00	0.00	0.00
LIQUIDNET INC	943,564.70	0.00%	100.00%	0.00%	1,228.53	0.00	1,228.53	489.45	0.00	0.00	739.08	0.00	739.08
ITG	896,816.51	0.00%	100.00%	0.00%	831.00	0.00	831.00	831.00	0.00	0.00	0.00	0.00	0.00
JPMORGAN CHASE BANK	810,464.29	0.00%	100.00%	0.00%	1,151.73	0.00	1,151.73	1,151.73	0.00	0.00	0.00	0.00	0.00
STIFEL FINANCIAL CORP	746,974.32	0.00%	100.00%	0.00%	1,120.48	0.00	1,120.48	1,120.48	0.00	0.00	0.00	0.00	0.00
EXANE BNP PARIBAS	700,432.07	0.00%	100.00%	0.00%	1,141.09	0.00	1,141.09	1,141.09	0.00	0.00	0.00	0.00	0.00
OTHER	3,486,253.63	5.44%	94.56%	0.00%	4,560.24	0.00	4,560.24	4,161.95	0.00	316.20	82.09	0.00	82.09
All	13,744,074.91	6.08%	93.92%	0.00%	18,096.19	0.00	18,096.19	15,310.87	0.00	913.82	1,871.50	0.00	1,871.50
Firm-wide comparators													
Firm-wide trading	100.00%	12.52%	59.11%	28.37%	83.22%	16.78%	100.00%	77.44%	0.00%	10.24%	12.32%		
Portfolio trades	100.00%	6.08%	93.92%	0.00%	100.00%	0.00%	100.00%	84.61%	0.00%	5.05%	10.34%		
Average firm-wide commission rate (bp)							7						
Portfolio average commission rate (bp)							13						

*Commission for research and other allowable expenses.

Firm wide trading – Please note that this figure includes trades for passive funds which are typically executed at significantly lower rates of commission than trades executed at full service rates.

Full Service Rate and Other Rates – Please note that the percentages showing the split between full service & other rates are indicative only for trades we have undertaken during the period for that asset class.

